11 NCAC 23A .0104 EMPLOYER'S REQUIREMENT TO FILE FIRST REPORT OF INJURY

(a) The form required to be provided by G.S. 97-92(a) is the Form 19 Employer's Report of Employee's Injury or Occupational Disease to the Industrial Commission. The Form 19 shall be used when the injury causes the employee to be absent from work for more than one day or when the charges for medical compensation exceed four thousand dollars (\$4,000). The Form 19 shall be filed with the Commission in accordance with Rule .0108(d) of this Section.

(b) The employer, carrier, or administrator shall provide the employee with a copy of the completed Form 19 Employer's Report of Employee's Injury or Occupational Disease to the Industrial Commission, along with a blank Form 18 Notice of Accident to Employer and Claim of Employee, Representative, or Dependent for use by the employee in making a claim.

History Note: Authority G.S. 97-80(a); 97-92;

Eff. March 15, 1995;

Amended Eff. November 1, 2014; January 1, 2011; August 1, 2006; March 1, 2001; June 1, 2000;

Recodified from 04 NCAC 10A .0104 Eff. June 1, 2018;

11 NCAC 23A .0408 APPLICATION FOR OR STIPULATION TO ADDITIONAL MEDICAL COMPENSATION

- (a) An employee may file an application for additional medical compensation with the Office of the Executive Secretary for an order for payment of additional medical compensation within two years of the date of the lastpayment of medical or indemnity compensation, whichever occurs last. An application may be made on a Form 18M Employee's Application for Additional Medical Compensation or by written request. In the alternative, an employee may file an application for additional medical compensation by filing a Form 33 Request that Claim be Assigned for Hearing with the Commission pursuant to Rule .0602 of this Subchapter.
- (b) Upon receipt of a Form 18M Employee's Application for Additional Medical Compensation or a written request, the Commission shall notify the employer, carrier, or administrator that the claim has been received by providing a copy of the Form 18M Employee's Application for Additional Medical Compensation or the written request. Within 30 days, the employer, carrier, or administrator may send to the Commission and the employee's attorney of record or the employee, if unrepresented, a written statement as to whether the request is accepted or denied. If the request is denied, the employer, carrier, or administrator may state in writing the grounds for the denial and shall attach any supporting documentation to the statement of denial.
- (c) The parties may, by agreement or stipulation consistent with the Workers' Compensation Act, provide for additional medical compensation.

(d) This Rule applies to injuries occurring on or after July 5, 1994.

History Note: Authority G.S. 97-25.1; 97-80(a);

Eff. March 15, 1995;

Amended Eff. November 1, 2014; June 1, 2000; Recodified from 04 NCAC 10A .0408 Eff. June 1, 2018;

11 NCAC 23A .0409 CLAIMS FOR DEATH BENEFITS

- (a) An employer shall notify the Commission of the occurrence of a death resulting from an injury or occupational disease allegedly arising out of and in the course of employment by filing a Form 19 Employer's Report of Employee's Injury or Occupational Disease to the Industrial Commission within five days of knowledge of the death.
- (b) An employer, carrier, or administrator shall conduct an investigation to determine the names and addresses of decedent's potential beneficiaries under G.S. 97-38 and identify them on the Form 29 Supplemental Report for Fatal Accidents. The Form 29 Supplemental Report for Fatal Accidents shall be filed with the Commission within 45 days of notification of a death or allegation of death resulting from an injury or occupational disease arising out of and in the course of employment.
- (c) If the employer, carrier, or a dministrator disputes that an employee's death is compensable or denies it has liability for the claim, the employer, carrier, or administrator shall notify the Commission on a Form 61 Denial of Workers' Compensation Claim. When the employer, carrier, or administrator denies liability for a claim involving an employee's death, the employer, carrier, or administrator shall send the form to all known potential beneficiaries, their attomeys of record, if any, all health care providers that have submitted bills to the employer, carrier, or administrator, and the Commission.
- (d) If the employer, carrier, or administrator accepts liability for a claim involving an employee's death and there are no issues necessitating a hearing for determination of beneficiaries or their respective rights, the parties shall submit either a Form 30 Agreement for Compensation for Death as set forth in Rule .0501 of this Subchapter or a proposed Opinion and Award.
- (e) If the parties submit a Form 30 Agreement for Compensation for Death, the agreement shall be filed in accordance with Rule .0108 of this Subchapter with the following:
 - (1) a stipulation as to a verage weekly wage;
 - (2) any affidavits regarding dependents;
 - (3) the employee's death certificate;
 - (4) a Form 29 Supplemental Report for Fatal Accidents:
 - a Form 42 Application for Appointment of Guardian ad Litem, if any beneficiary is a minor or incompetent;
 - (6) proof of beneficiary status, such as marriage license, birth certificate, or divorce decree;
 - (7) a funeral bill or stipulation as to payment of the funeral benefit;
 - (8) a Form 30D Award Approving Agreement for Compensation for Death; and
 - (9) an affidavit or itemized statement in support of an award of attorney's fees if an attorney is seeking fees for representation of one or more beneficiaries.
- (f) If the parties seek a written Opinion and Award from the Commission regarding the payment of death benefits in lieu of submitting a Form 30 Agreement for Compensation for Death, the parties shall file, in accordance with Rule .0108 of this Subchapter, a proposed Opinion and Award with the following:
 - (1) a stipulation regarding all jurisdictional matters:
 - the decedent's name, social security number, employer, insurance carrier or servicing a gent, and the date of the injury giving rise to this claim;
 - (3) a stipulation as to a verage weekly wage:
 - (4) any affidavits regarding dependents;
 - (5) the employee's death certificate;
 - (6) a Form 29 Supplemental Report for Fatal Accidents;
 - (7) a Form 42 Application for Appointment of Guardian ad Litem, if any beneficiary is a minor or incompetent;
 - (8) proof of beneficiary status, such as marriage license, birth certificate, or divorce decree;
 - (9) medical records, if any;
 - (10) a statement of payment of medical expenses incurred, if any;
 - (11) a funeral bill or stipulation as to payment of the funeral benefit; and
 - an affidavit or itemized statement in support of an award of attorney's fees if an attorney is seeking fees for representation of one or more beneficiaries.
- (g) If an issue exists as to whether a person is a beneficiary pursuant to G.S. 97-38 or if any other disputed issue exists in an accepted claim, the employer, carrier, administrator, potential beneficiary, or any person asserting a claim for benefits may request a hearing by filing a Form 33 Request that Claim be Assigned for Hearing in accordance with Rule .0602 of this Subchapter.

- (h) Upon approval by the Commission of a Form 30 Agreement for Compensation for Death or upon the issuance of a final order of the Commission directing payment of death benefits pursuant to G.S. 97-38, payment shall be made by the employer, carrier, or a dministrator directly to the beneficiaries, with the following exceptions:
 - (1) any applicable award of attorney's fees shall be paid directly to the attorney; and
 - (2) benefits due to a minor or incompetent.
- (i) In all cases involving minors and incompetent persons who are potential beneficiaries, a guardian ad litem shall be appointed pursuant to Rule .0604 of this Subchapter.
- (j) Any benefits due to a minor pursuant to G.S. 97-38 shall be paid directly to the minor's parent, legal guardian, or legal custodian, if the minor remains in the physical custody of such person, or another person if ordered by the Commission for good cause shown. The benefits shall be for the exclusive use and benefit of the minor. When a beneficiary reaches the age of 18, any remaining benefits shall be paid directly to the beneficiary.
- (k) The Commission shall order that the benefits for an incompetent beneficiary shall be paid to the person or entity authorized to receive funds on behalf of the beneficiary pursuant to a federal or state court order, or to the Clerk of Court in the county in which the beneficiary resides, for the beneficiary's exclusive use and benefit.
- (l) Upon a change in circumstances, any interested party may request that the Commission amend the terms of any award with respect to a minor or incompetent person to direct payment to another party on behalf of the minor or incompetent person.
- (m) In the case of benefits commuted to present value, only those sums that have not accrued at the time of the approval of a Form 30 Agreement for Compensation for Death or entry of a final order of the Commission directing payment of death benefits pursuant to G.S. 97-38 are subject to commutation pursuant to Rule .0406 of this Subchapter.

History Note: Authority G.S. 97-38; 97-39; 97-80(a);

Eff. June 1, 2000;

Amended Eff. November 1, 2014; January 2, 2011; Recodified from 04 NCAC 10A .0409 Eff. June 1, 2018;

SECTION.0500 - AGREEMENTS

11 NCAC 23A .0501 AGREEMENTS FOR PROMPT PAYMENT OF COMPENSATION

- (a) To facilitate the payment of compensation within the time prescribed in G.S. 97-18, the Commission shall accept memoranda of agreement on Commission forms. These forms include the Form 21 Agreement for Compensation for Disability, Form 26 Supplemental Agreement as to Payment of Compensation, Form 26A Employer's Admission of Employee's Right to Permanent Partial Disability, Form 26D Agreement for Payment of Unpaid Compensation in Unrelated Death Cases, and Form 30 Agreement for Compensation for Death.
- (b) No agreement for permanent disability shall be approved until the relevant medical and vocational records, including a job description if the employee has permanent work restrictions and has returned to work for the employer of injury, known to exist in the case have been filed with the Commission. When requested by the Commission, the parties shall file any additional documentation necessary to determine whether the employee is receiving the disability compensation to which he or she is entitled and that an employee qualifying for disability compensation under G.S. 97-29 or G.S. 97-30, and G.S. 97-31 has the benefit of the more favorable remedy.
- (c) After the employer, carrier, or administrator has received a memorandum of agreement that has been signed by the employee and the employee's attorney of record, if any, the employer, carrier, or administrator shall submit the memorandum of agreement within 20 days to the Commission for review and approval. Agreements conforming to the provisions of the Workers' Compensation Act shall be approved by the Commission and a copy returned to the employer, carrier, or administrator, and a copy sent to the employee.
- (d) Upon submission to the Commission of the executed agreement, the employer, carrier, administrator, or the attorney of record, if any, shall provide the employee, beneficiary, or attorney of record, if any, with a copy of the executed agreement that was submitted to the Commission.
- (e) All memoranda of agreement for cases that are calendared for hearing before a Commissioner or Deputy Commissioner shall be addressed to that Commissioner or Deputy Commissioner, and filed in accordance with Rule .0108 of this Subchapter. Before a case is calendared, or once a case has been continued or removed, or after the filing of an Opinion and Award, all memoranda of a greement shall be addressed to the Claims Section of the Commission, and filed in accordance with Rule .0108 of this Subchapter.

History Note: Authority G.S. 97-18; 97-80(a); 97-82;

Eff. January 1, 1990;

Amended Eff. November 1, 2014; August 1, 2006; Recodified from 04 NCAC 10A .0501 Eff. June 1, 2018;

11 NCAC 23A .0903 EMPLOYEE'S OBLIGATION TO REPORT EARNINGS

- (a) A self-insured employer, carrier, or third-party administrator may require the employee who has filed a claim and is receiving wage loss benefits under G.S. 97-29 or G.S. 97-30 to complete a Form 90 Report of Earnings when reasonably necessary but not more than once every six months.
- (b) The Form 90 Report of Earnings shall be sent to the employee by certified mail, return receipt requested, and shall include a self-addressed stamped envelope for the return of the form. When the employee is represented by an attorney, the Form 90 Report of Earnings shall be sent only to the attorney for the employee and shall be sent by any method of transmission that provides proof of receipt, including electronic mail, facsimile, or certified mail, return receipt requested.
- (c) The employee shall complete and return the Form 90 Report of Earnings within 15 days after receipt of a Form 90 Report of Earnings. If the employee fails to complete and return the Form 90 Report of Earnings within 30 days of receipt of the form, the self-insured employer, carrier, or third-party administrator may seek to suspend compensation being paid pursuant to G.S. 97-29 by filing a Form 24 Application to Terminate or Suspend Payment of Compensation, as allowed by G.S. 97-18.1 and Rule .0404 of this Subchapter.
- (d) If compensation is suspended pursuant to Paragraph (c) of this Rule and the employee subsequently completes and returns the Form 90 Report of Earnings, the self-insured employer, carrier, or third-party administrator shall reinstate payment of compensation to the employee with back payment. However, if the Form 90 Report of Earnings does not indicate continuing eligibility for disability compensation, the self-insured employer, carrier, or third-party administrator is not required to reinstate payment of compensation. If the Form 90 Report of Earnings indicates continuing eligibility for temporary partial disability compensation, the self-insured employer, carrier, or third-party administrator shall make payment of compensation pursuant to G.S. 97-30 with back payment within 14 days of receipt of documentation establishing the amount of compensation due. If payment of compensation is not reinstated following submission of the completed Form 90 Report of Earnings and the employee claims entitlement to ongoing disability compensation, the employee may seek reinstatement by filing a Form 23 Application to Reinstate Payment of Disability Compensation or Form 33 Request that Claim be Assigned for Hearing.

History Note: Authority G.S. 97-80(a);

Eff. June 1, 2000;

Amended Eff. November 1, 2014; August 1, 2006; Recodified from 04 NCAC 10A .0903 Eff. June 1, 2018;

11 NCAC 23B .0106 NOTICE BY THE COMMISSION

- (a) If service is provided by electronic mail, "receipt of such notice" pursuant to G.S. 143-292 and "receipt of the decision and order" of the Full Commission pursuant to G.S. 143-293 is complete one hour after it is sent by the Commission, provided that:
 - (1) notice sent after 5:00 p.m. shall be complete at 8:00 a.m. the following State business day; and
 - (2) notice sent by electronic mail that is not readable by the recipient is not complete. Within five State business days of receipt of an unreadable document, the receiving party shall notify the Commission of the unreadability of the document.
- (b) If service is provided by electronic mail, notice of orders or other documents issued pursuant to G.S. 143-296 is complete in accordance with the same provisions set forth in Paragraph (a) of this Rule.

History Note: Authority G.S. 143-300;

Eff. December 1, 2020.

11 NCAC 23E .0104 SECURE LEAVE PERIODS FOR ATTORNEYS

- (a) Any attorney may request one or more secure leave periods each year as provided in this Rule.
- (b) For the purpose of this Paragraph only, a "secure leave period" is defined as a partial calendar week or a complete calendar week. Within a calendar year, an attorney is entitled to obtain secure leave periods totaling up to 15 business days for any purpose.
- (c) For the purpose of this Paragraph only, a "secure leave period" is defined as a complete calendar week. Within a 24-week period surrounding the birth or a doption of an attorney's child, that attorney is entitled to have the benefit of up to 12 additional secure leave periods.
- (d) To request a secure leave period, an attorney shall file a written request, by letter or motion, containing the information required by Paragraph (e) of this Rule with the Office of the Chair within the time period provided in Paragraph (f) of this Rule. Upon such filing, the Chair shall review the request. If the request is made pursuant to Paragraph (b) or Paragraph (c) of this Rule and the request complies with Paragraphs (e) and (f) of this Rule, the Chair shall issue a letter allowing the requested secure leave period. The attorney shall not be required to appear at any trial, hearing, deposition, or other proceeding before the Commission during a secure leave period that is allowed.
- (e) The request shall contain the following information:
 - (1) the attorney's name, mailing address, telephone number, email address, and state bar number;
 - (2) the date(s) for which secure leave is being requested;
 - (3) the dates of all other secure leave periods during the current calendar year that have previously been designated by the attorney pursuant to this Rule;
 - (4) a statement that the secure leave period is not being designated for the purpose of delaying, hindering, or interfering with the disposition of any matter in any pending action or proceeding;
 - (5) a statement that no action or proceeding in which the attorney has entered an appearance has been scheduled, tentatively set, or noticed for trial, hearing, deposition, or other proceeding during the designated secure leave period; and
 - (6) for secure leave requests that arise under Paragraph (c) of this Rule, the expected birth date or adoption date of the child.
- (f) The request shall be filed:
 - (1) no later than 90 days before the beginning of the secure leave period; and
 - (2) before any trial, hearing, deposition, or other matter has been scheduled, peremptorily set, or noticed for a time during the designated secure leave period.
- (g) The Chair may, as set forth in Rule .0301 of this Subchapter, make exception to the 15-day aggregate limit set forth in Para graph (b) of this Rule, the requirement set forth in Subparagraph (e)(5) of this Rule, and the limitations set forth in Para graph (f) of this Rule. An attorney requesting that the Chair make an exception under this Paragraph shall inform the Chair of all known actions or proceedings involving that attorney that are scheduled, tentatively set, or noticed for trial, hearing, deposition, or other proceeding during the requested secure leave period. The attorney also shall provide notice to all opposing parties or, if represented, opposing counsel of record in all cases subject to the jurisdiction of the Industrial Commission of the beginning and ending dates of the requested secure leave period and of all known actions or proceedings involving that attorney that are scheduled, tentatively set, or noticed for trial, hearing, deposition, or other proceeding during the requested secure leave period.
- (h) After a secure leave period has been allowed pursuant to this Rule, if any trial, hearing, or other proceeding is scheduled or tentatively set for a time during the secure leave period, the attorney shall file with the Deputy Commissioner or Chair of the Full Commission panel before which the matter was calendared or set, and serve on all parties, a copy of the letter allowing the secure leave period with a certificate of service attached. Upon receipt, the proceeding shall be rescheduled for a time that is not within the attorney's secure leave period.
- (i) After a secure leave period has been allowed pursuant to this Rule, if any deposition is noticed for a time during the secure leave period, the attorney shall serve on the party that noticed the deposition a copy of the letter allowing the secure leave period with a certificate of service attached, and that party shall reschedule the deposition for a time that is not within the attorney's secure leave period.

History Note: Authority G.S. 97-80(a);

Eff. July 1, 2014;

Recodified from 04 NCAC 10E.0104 Eff. June 1, 2018;

11 NCAC 23L .0103 FORM 26A - EMPLOYER'S ADMISSION OF EMPLOYEE'S RIGHT TO PERMANENT PARTIAL DISABILITY (EFFECTIVE DECEMBER 1, 2020)

(a) The parties to a workers' compensation claim shall use the following Form 26A, Employer's Admission of Employee's Right to Permanent Partial Disability, for agreements regarding the employee's entitlement to and the employer's payment of compensation for permanent partial disability pursuant to G.S. 97-31. Additional issues agreed upon by the parties, such as election of payment of temporary partial disability pursuant to G.S. 97-30, may also be included on the form. This form is necessary to comply with Rule 11 NCAC 23A .0501, where applicable. The Form 26A, Employer's Admission of Employee's Right to Permanent Partial Disability, shall read as follows:

North Carolina Industrial Com Employer's Admission of Emp (G.S. 97-31)		ht to Perm	nanent Par	tial Disa bi	ility				
IC File # Emp. Code# Carrier Code # Carrier File # Employer FEIN									
The Use Of This Form Is Requ	ired Under	The Prov	isions of T	The Worke	ers'Compe	nsatio	n Act		
Employee's Name									
Address									
City	State			Zip					
Home Telephone Social Security Number:	Sex: 🗆]	M □ F Da		elephone n:	-				
Employer's Name			Telepho	one Numb	er				
Employer's Address	City	State	Zip						
Insurance Carrier									
Carrier's Address	City	State	Zip						
Carrier's Telephone Number			Carrier'	s Fax Nun	nber				
 2. The employee sustained of and in the course of employee 3. The injury by 4. The employee □ was □ w If not, was salary continued 	re subject is the Carrian injury be loyment on accident vas not paid? yes \(\) n	to and be ier/Admir by accider or occ d for the 7 o. Was er	ound by the istrator for the enterprise of the e	ne provision the Empore complete comple	ons of the loyer. ontracted a resulted	Work un occ in ury?	eupatio the	nal disease a following	arising out injuries:
5. The average weekly wag \$ This resu	lts in a wee	ekly comp	ensation	ate of \$					ances, was
6. The employee □ has □ has on									

7. Claimant was released ⊔ with permanent restrictions an						
to exist.	a mas returne	i to work for the ci	inployer or in	jury, attach a ji	oo descriptio	IIII KIIOWII
8. Permanent partial disability	compensatio	n will be naid to th	e injured wo	rker as follows	2.	
weeks of compensation at ra)
weeks of compensation at ra						
weeks of compensation at ra	te of \$	perweek for	% rating t	0	_(body part)	<i>)</i> \
Total amount of perman	ont postiol	per week for _	/0 laulig t	·	_(body part)	of first
	ent partiai	disability com	pensauon is	Φ	Date	OI IIISt
payment: 9. State any further matters a	grand upon	in aludina diafiaur	amant lace a	f tooth alastic	on of tompo	mry nartial
					on or tempor	other:
disability, v	vaiting	perio	oa	or		otner.
10. An overpayment is	claimed in	the amount of	\$	Overnaymer	nt was calc	_· uilated as
follows:	ciannea in	the amount of	Ψ	Overpaymen	it was care	antea us
If overpayment claimed, a Form 2	8B Report o	f Compensation ar	 nd Medical Co	mnensation P	aid is attache	ed 🗆 ves 🗆
no	ob, Report o	i compensation ar	ia ivicalear ex	mpensacion i	aid, is attach	yes .
11. If applicable, the Second I	niury Fund A	ssessment is \$		Achec	·k □ is □ is no	t included
11. If applicable, the Second 1	njury r unu z i	33C33ΠCΠC13 Ψ				t included.
The undersigned hereby certify th	at the materi	al medical and voc	cational recor	ds related to t	he injury inc	lud i ng anv
job description known to exist if t						
of injury, have been provided to						
Commission for consideration pur					nea with the	7 THG GSGRAT
Commission for consideration pur	suant to G.S.	77-02(a) and Kuic	TINCAC 2.	JA .0301.		
Name Of Employer	Signature		Title		Date	
Name Of Carrier/Administrator	Signature	Direct Phon	e Number	Email Addre	ess Title	Date
By signing I enter into this agreen	nent and certi	fy that I have read	the "Importa	nt Notices to E	mployee"	
printed on Page 3 of this form.						
Signature of Employee		Address	Email	Address	Date	
Signature of Employee's Attorney		Address	Email	Address	Date	
		Address	Email	Address	Date	
Signature of Employee's Attorney Check box if no attorney retained		Address	Email	Address	Date	
☐ Check box if no attorney retained	ed.	Address	Email	Address	Date	
☐ Check box if no attorney retained North Carolina Industrial Commis	ed. esion		Email	Address	Date	
☐ Check box if no attorney retained	ed. esion		Email	Address	Date	
☐ Check box if no attorney retained North Carolina Industrial Commis The Foregoing Agreement Is Here	ed. esion		Email			
☐ Check box if no attorney retained North Carolina Industrial Commis	ed. esion		Email.	Address		
□ Check box if no attorney retained North Carolina Industrial Commiss The Foregoing Agreement Is Here Claims Examiner	ed. esion		Email.			
☐ Check box if no attorney retained North Carolina Industrial Commis The Foregoing Agreement Is Here	ed. esion		Email.			

IMPORTANT NOTICE TO EMPLOYEE CLAIMING ADDITIONAL WEEKLY CHECKS OR LUMP SUM PAYMENTS

Once your compensation checks have been stopped, if you claim further compensation, you must notify the Industrial Commission in writing within two years from the date of receipt of your last compensation check or your rights to these benefits may be lost.

IMPORTANT NOTICE TO EMPLOYEE INJURED BEFORE JULY 5, 1994 CLAIMING ADDITIONAL MEDICAL BENEFITS

If your injury occurred before July 5, 1994, you are entitled to medical compensation as long as it is reasonably necessary, related to your workers' compensation case, and authorized by the carrier or the Industrial Commission.

IMPORTANT NOTICE TO EMPLOYEE INJURED ON OR AFTER JULY 5, 1994 CLAIMING ADDITIONAL MEDICAL BENEFITS

If your injury occurred on or after July 5, 1994, your right to future medical compensation will depend on several factors. Your right to payment of future medical compensation will terminate two years after your employer or carrier/administrator last pays any medical compensation or other compensation, whichever occurs last. If you think you will need future medical compensation, you must apply to the Industrial Commission in writing within two years, or your right to these benefits may be lost. To apply you may also use Industrial Commission 18M, Employee's Application for Additional Medical Compensation (G.S. 97-25.1), a vailable at http://www.ic.nc.gov/forms.html.

IMPORTANT NOTICE TO EMPLOYER

The employee must be provided a copy when the agreement is signed by the employee. Pursuant to Rule 11 NCAC 23A .0501, within 20 days after receipt of the agreement executed by the employee, the employer or carrier/administrator must submit the agreement to the Industrial Commission, or show cause for not submitting the agreement. The employer or carrier/administrator shall file a Form 28B, Report of Compensation and Medical Compensation Paid, within 16 days after the last payment made pursuant to this agreement or be subject to a penalty.

NEED ASSISTANCE?

If you have questions or need help and you do not have an attorney, you may contact the Industrial Commission at (800) 688-8349.

Form 26A 12/2020

Self-Insured Employer or Carrier Mail to: NCIC - Claims Administration 4335 Mail Service Center Raleigh, North Carolina 27699-4335 Main Telephone: (919) 807-2500 Helpline: (800) 688-8349

Website: http://www.ic.nc.gov/

(b) A copy of the form described in Paragraph (a) of this Rule can be accessed at http://www.ic.nc.gov/forms/form26a.pdf. The form may be reproduced only in the format available at http://www.ic.nc.gov/forms/form26a.pdf and may not be altered or a mended in any way.

History Note: Authority G.S. 97-30: 97-31: 97-73: 97-80(a): 97-81(a): 97-82: S.L. 2014-77:

Eff. November 1, 2014;

Recodified from 04 NCAC 10L.0103 Eff. June 1, 2018;

Amended Eff. December 1, 2020.

11 NCAC 23L .0103 FORM 26A – EMPLOYER'S ADMISSION OF EMPLOYEE'S RIGHT TO PERMANENT PARTIAL DISABILITY (EFFECTIVE MARCH 1, 2021)

(a) The parties to a workers' compensation claim shall use the following Form 26A, Employer's Admission of Employee's Right to Permanent Partial Disability, for a greements regarding the employee's entitlement to and the employer's payment of compensation for permanent partial disability pursuant to G.S. 97-31. Additional issues agreed upon by the parties, such as election of payment of temporary partial disability pursuant to G.S. 97-30, may also be included on the form. This form is necessary to comply with Rule 11 NCAC 23A .0501, where applicable. The Form 26A, Employer's Admission of Employee's Right to Permanent Partial Disability, shall read as follows:

North Carolina Industrial Commission Employer's Admission of Employee's Right to Permanent Partial Disability (G.S. 97-31)
IC File # Emp. Code #
Carrier Code #

Carrier File #						
The Use Of This Form Is Required Under The	Provision	s of The	Workers'	Compensation	n Act	
Employee's Name						
Address						
City State Zip						
Home Telephone <u>Last 4 digits of Social Security Number:</u>		rk Telep		f Birth:		
Employer's Name	Telephor	ne Numb	oer			
Employer's Address	City	State	Zip			
Insurance Carrier						
Carrier's Address	City	State	Zip			
Carrier's Telephone Number	Caı	rier's Fa	x Number	•		
 2. The employee sustained an injury by a of and in the course of employment on	occupatio	onal di	sease re	sulted in	_	_
If not, was salary continued? ☐ yes ☐ no. W 5. The average weekly wage of the emp was \$ This results in a weekly 6. The employee ☐ has ☐ has not return	loyee at the compense of full tin	ne time o sation ra ne to wo	of the injur te of \$ rk for	y, including o	overtime and	
on, at an average 7. Claimant was released □ with permareleased with permanent restrictions and has reknown to exist.	ınent resti	ictions	□ withou	t permanent r	estrictions.	
8. Permanent partial disa bility compensation at rate of \$ weeks of compensation at rate of \$ weeks of compensation at rate of \$ weeks of compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate of \$ Total amount of permanent partial disa bility compensation at rate	per wonen	eek for _ eek for _ eek for _ n is \$ ig disfigi	% rati % rati % rati	ing to ng to ng to . Date of first	(body	
				0		other:
10. An overpayment is claimed in the follows:	amount o	of \$		Overp	payment wa	s calculated as
follows: If overpayment claimed, a Form 28B, Report o □ no	f Comper	isation a	nd Medica	lCompensati	on Paid, is a	ttached. □ yes
11. If applicable, the Second Injury Functional Injury Function (1997).	l Assessm	ent is \$			A check	☐ is ☐ is not

The undersigned hereby certify that the material medical and vocational records related to the injury, including any job description known to exist if the employee has permanent restrictions and has returned to work for the employer of injury, have been provided to the employee or the employee's attorney and have been filed with the Industrial Commission for consideration pursuant to G.S. 97-82(a) and Rule 11 NCAC 23A .0501.

Name Of Employer	Signature	Title	Dat	te.	
Name Of Carrier/Administrator	Signature	Direct Phone Number	Email Address	Title	Date
By signing I enter into this a greement arprinted on Page 3 of this form.	nd certify that	I have read the "Important I	Notices to Employ	yee"	
Signature of Employee		Address	Email Address	Date	
Signature of Employee's Attorney		Address	Email Address	Date	
☐ Check box if no attorney retained.					
North Carolina Industrial Commission The Foregoing Agreement Is Hereby Ap	pproved:				
Cla im s Examiner		Date			
Attorney's fee approved					

IMPORTANT NOTICE TO EMPLOYEE CLAIMING ADDITIONAL WEEKLY CHECKS OR LUMP SUM PAYMENTS

Once your compensation checks have been stopped, if you claim further compensation, you must notify the Industrial Commission in writing within two years from the date of receipt of your last compensation check or your rights to these benefits may be lost.

IMPORTANT NOTICE TO EMPLOYEE INJURED BEFORE JULY 5, 1994 CLAIMING ADDITIONAL MEDICAL BENEFITS

If your injury occurred before July 5, 1994, you are entitled to medical compensation as long as it is reasonably necessary, related to your workers' compensation case, and authorized by the carrier or the Industrial Commission.

IMPORTANT NOTICE TO EMPLOYEE INJURED ON OR AFTER JULY 5, 1994 CLAIMING ADDITIONAL MEDICAL BENEFITS

If your injury occurred on or after July 5, 1994, your right to future medical compensation will depend on several factors. Your right to payment of future medical compensation will terminate two years after your employer or carrier/administrator last pays any medical compensation or other compensation, whichever occurs last. If you think you will need future medical compensation, you must file an application for additional medical compensation pursuant to G.S. 97-25.1 within two years, or your right to these benefits may be lost. An application for additional medical compensation or by written request. In the alternative, an employee may file an application for additional medical compensation by filing a Form 33 Request that Claim be Assigned for Hearing pursuant to 11 NCAC 23A .0602. All Industrial Commission forms are available at https://www.ic.nc.gov/forms.html.

IMPORTANT NOTICE TO EMPLOYER

The employee must be provided a copy of the form when the agreement is signed by the employee. Pursuant to Rule 11 NCAC 23A .0501, within 20 days after receipt of the agreement executed by the employee, the employer or carrier/administrator must submit the agreement to the Industrial Commission. The employer or carrier/administrator shall file a Form 28B, Report of Compensation and Medical Compensation Paid, within 16 days after the last payment made pursuant to this agreement or be subject to a penalty.

NEED ASSISTANCE?

If you have questions or need help and you do not have an attorney, you may contact the Industrial Commission at (800) 688-8349.

Form 26A 3/2021

Self-Insured Employer or Carrier, File via Electronic Document Filing Portal ("EDFP"):

https://www.ic.nc.gov/docfiling.html

Contact Information:

NCIC-Claims Administration Telephone: (919) 807-2502 Helpline: (800) 688-8349 Website: https://www.ic.nc.gov

(b) A copy of the form described in Paragraph (a) of this Rule can be accessed at https://www.ic.nc.gov/forms/form26a.pdf. The form may be reproduced only in the format available at https://www.ic.nc.gov/forms/form26a.pdf and may not be altered or amended in any way.

History Note: Authority G.S. 97-30; 97-31; 97-73; 97-80(a); 97-81(a); 97-82; S.L. 2014-77;

Eff. November 1, 2014;

Recodified from 04 NCAC 10L.0103 Eff. June 1, 2018;

Amended Eff. December 1, 2020; Amended Eff. March 1, 2021.